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Date of Mailing: September 17, 2003

Patent  
Our Case No. 10672-24

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                  |   |
|----------------------------------|---|
| In re Application of:            | ) |
| Dhindsa                          | ) |
|                                  | ) |
| Serial No.: Not Yet Assigned     | ) |
|                                  | ) |
| Filed: Herewith                  | ) |
|                                  | ) |
| For: Endoscopic Stone Extraction | ) |
| Device with Improved Basket      | ) |

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicant hereby submits documents A1-A39 listed on the attached form PTO-1449 for consideration by the Examiner. In accordance with 37 C.F.R. § 1.98(d), copies of these documents are not provided since they were previously cited by or submitted to the Office in prior application serial number 10/022,061, which is being relied upon for an earlier filing date under 35 U.S.C. § 120.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that any of these documents is prior art against the present application.

Dated: September 17, 2003

Respectfully submitted,



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Reg. No. 44,070  
Attorney for Applicant

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| FORM PTO-1449   | SERIAL NO.<br>Not Yet Assigned | CASE NO.<br>10672/24               |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>Herewith        | GROUP ART UNIT<br>Not Yet Assigned |
| (use several sheets if necessary)   |                                | APPLICANT(S): Avar S. Dhindsa      |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME            | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|-----|--|------------|-----------------|--------------------|----------------|
|                     | A1  | 4,590,938  | 05/27/86   | Segura          |                    |                |
|                     | A2  | 4,612,931  | 09/23/86   | Dormia          |                    |                |
|                     | A3  | 5,192,286  | 03/09/93   | Phan            |                    |                |
|                     | A4  | 5,197,968  | 03/30/93   | Clement         |                    |                |
|                     | A5  | 5,496,330  | 03/05/96   | Bates           |                    |                |
|                     | A6  | 5,788,710  | 08/04/98   | Bates           |                    |                |
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|                     | A8  | 5,989,266  | 11/23/99   | Foster          |                    |                |
|                     | A9  | 6,059,796  | 05/09/00   | Bilitz          |                    |                |
|                     | A10 | 6,077,274  | 06/20/00   | Ouchi           |                    |                |
|                     | A11 | 6,093,196  | 07/25/00   | Okada           |                    |                |
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|                     | A13 | 3,955,578  | 05/11/76   | Chamness et al. |                    |                |
|                     | A14 | 5,066,295  | 11/19/91   | Kozak et al.    |                    |                |
|                     | A15 | 6,015,415  | 01/18/00   | Avellanet       |                    |                |
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|                     | A22 | 6,245,089  | 06/12/2001 | Daniel et al.   |                    |                |
|                     | A23 | 6,165,200  | 12/26/2000 | Tsugita et al.  |                    |                |
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|                     | A29 | 5,910,154  | 06/08/1999 | Tsugita et al.  |                    |                |
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|                     | A31 | 6,027,520  | 02/22/2000 | Tsugita et al.  |                    |                |
|                     | A32 | 6,042,598  | 03/28/2000 | Tsugita et al.  |                    |                |
|                     | A33 | 6,270,513  | 08/07/2001 | Tsugita et al.  |                    |                |
|                     | A34 | US 2001/0041909  | 11/15/2001 | Tsugita et al.  |                    |                |
|                     | A35 | 6,165,179  | 12/26/00   | Cathcart et al. |                    |                |

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| (use several sheets if necessary)   | APPLICANT(S): Avar S. Dhindsa  |                                    |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|--|------|---------|--------------------|--------------------------|
|                     |  |      |         |                    |                          |
|                     |  |      |         |                    |                          |
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|                     |  |      |         |                    |                          |

| EXAMINER<br>INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS<br>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |   |
|---------------------|---|---|
|                     | A36   | "Endoscopic Stone Extraction Device with Improved Basket," U.S. Patent Application Serial No. 09/761,786, filed January 17, 2001; inventor Avtar S. Dhindsa.  |
|                     | A37   | "Endoscopic Stone Extraction Device with Rotatable Basket," U.S. Patent Application Serial No. 09/761,785, filed January 17, 2001; inventor Avtar S. Dhindsa. |
|                     | A38   | "Endoscopic Stone Extraction Device with Rotatable Basket," U.S. Patent Application Serial No. 09/870,348, filed May 30, 2001; inventor Avtar S. Dhindsa.     |
|                     | A39   | Dretler, Stephen P., "The Stone Cone: A New Generation of Basketry", The Journal Of Urology, Vol. 165, May 2001, pp. 1593-1596.                               |
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